

NORTH HERTFORDSHIRE DISTRICT COUNCIL



23 November 2020

Our Ref FARC – 03.12.2020
Contact. Committee Services
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To: Members of the Committee: Kate Aspinwall (Chair), Sam North (Vice-Chair), Sam Collins, Steve Deakin-Davies, Morgan Derbyshire, Adem Ruggiero-Cakir and Michael Weeks

Substitutes: Councillors George Davies, Steve Jarvis, Ian Moody, Helen Oliver, Kay Tart and Terry Tyler

**NOTICE IS HEREBY GIVEN OF A
MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE**

to be held as

A VIRTUAL MEETING

On

THURSDAY, 3RD DECEMBER, 2020 AT 7.30 PM

Yours sincerely,

Jeanette Thompson
Service Director – Legal and Community

****MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION ON YOUR TABLET BEFORE ATTENDING THE MEETING****

Agenda **Part I**

Item	Page
1. WELCOME AND REMOTE/PARTLY REMOTE MEETINGS PROTOCOL SUMMARY Members are requested to ensure that they are familiar with the attached summary of the Remote/Partly Remote Meetings Protocol. The full Remote/Partly Remote Meetings Protocol has been published and is available here: https://www.north-herts.gov.uk/home/council-and-democracy/council-and-committee-meetings .	(Pages 1 - 2)
2. APOLOGIES FOR ABSENCE	
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chair of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chair will decide whether any item(s) raised will be considered.	
4. CHAIR'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chair of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
5. PUBLIC PARTICIPATION To receive petitions, comments and questions from the public.	
6. FINAL AUDIT RESULTS REPORT REPORT OF ERNST & YOUNG To receive the Final Audit Results Report.	(Pages 3 - 46)
7. SIAS UPDATE ON PROGRESS AGAINST THE 2020/21 AUDIT PLAN REPORT OF THE SHARED INTERNAL AUDIT SERVICE To receive an update on the Audit Committee Progress Report.	(Pages 47 - 66)

8. **PROGRESS WITH DELIVERY OF THE 2020/21 ANTI-FRAUD PLAN** (Pages
REPORT OF THE SHARED ANTI-FRAUD SERVICE 67 - 86)
- To receive an update on the progress made in delivering the 2020/21 Anti-Fraud Plan and the performance of SAFS in meeting its Key Performance Indicators in 2020/21.
9. **ANNUAL GOVERNANCE STATEMENT 2019/20: UPDATED ACTION** (Pages
PLAN FOR 2020/21 87 - 92)
REPORT OF THE POLICY AND COMMUNITY ENGAGEMENT MANAGER
- For the Finance, Audit & Risk Committee to note the progress made against the Action plan for 2020/21. This Action plan was presented to the Committee as part of the final Annual Governance Statement (AGS) for 2019/20.
10. **RISK MANAGEMENT UPDATE** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 93 - 136)
- Q2 update on Risk Management at North Hertfordshire District Council.
11. **SECOND QUARTER REVENUE MONITORING 2020/21** (Pages
REPORT OF THE SERVICE DIRECTOR - RESOURCES 137 -
150)
- To consider the Second Quarter Revenue Monitoring report 2020/21.
12. **INVESTMENT STRATEGY (CAPITAL AND TREASURY) MID-YEAR** (Pages
REVIEW 2020/21 151 -
REPORT OF THE SERVICE DIRECTOR – RESOURCES 180)
- To receive an update on the progress with delivering the capital and treasury strategy for 2020/21, as at the end of September 2020.
13. **DRAFT BUDGET REPORT 2021/22** (Pages
REPORT OF THE SERVICE DIRECTOR - RESOURCES 181 -
210)
- To consider the Draft Budget 2021/22.
14. **POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS**
The Chair to lead a discussion regarding possible agenda items for future meetings.