

NORTH HERTFORDSHIRE DISTRICT COUNCIL



04/03/22

Our Ref Finance, Audit and Risk
Committee/16.03.22
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To: Members of the Committee: Keith Hoskins, Clare Billing, Adam Compton,
Morgan Derbyshire, Terry Hone, Sean Prendergast and Adem Ruggiero-Cakir

Substitutes: Councillors Juan Cowell, George Davies, Steve Jarvis, Nigel Mason, Kay Tart
and Terry Tyler

NOTICE IS HEREBY GIVEN OF A

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

to be held in the

COUNCIL CHAMBER, DISTRICT COUNCIL OFFICES

On

WEDNESDAY, 16TH MARCH, 2022 AT 7.30 PM

Yours sincerely,

Jeanette Thompson
Service Director – Legal and Community

****MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION ON YOUR TABLET BEFORE ATTENDING THE MEETING****

Agenda **Part I**

Item	Page
<p>1. APOLOGIES FOR ABSENCE Members are required to notify any substitutions by midday on the day of the meeting.</p> <p>Late substitutions will not be accepted and Members attending as a substitute without having given the due notice will not be able to take part in the meeting.</p>	
<p>2. MINUTES - 17 JANUARY 2022 To take as read and approve as a true record the minutes of the meeting of the Committee held on the 17 January 2022</p>	
<p>3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chair of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency.</p> <p>The Chair will decide whether any item(s) raised will be considered.</p>	
<p>4. CHAIR'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chair of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.</p>	
<p>5. PUBLIC PARTICIPATION To receive petitions, comments and questions from the public.</p>	
<p>6. ANNUAL GOVERNANCE STATEMENT 2020/21 REPORT OF THE POLICY AND COMMUNITY ENGAGEMENT MANAGER</p> <p>For the Committee to approve the Annual Governance Statement (AGS) for the year 2020/21 and Action Plan</p>	<p>(Pages 5 - 20)</p>
<p>7. LOCAL CODE OF CORPORATE GOVERNANCE 2022 REPORT OF THE POLICY AND COMMUNITY ENGAGEMENT MANAGER</p>	<p>(Pages 21 - 36)</p>

For the Committee to approve the Local Code of Corporate Governance for 2022.

8. **AUDIT RESULTS REPORT** (Pages
REPORT OF ERNST AND YOUNG 37 - 88)

To consider the Audit Results Report.

9. **STATEMENT OF ACCOUNTS 2020/21** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 89 - 196)

For the Committee to approve the audited Statement of Accounts for 2020/21.

10. **SAFS PROPOSED ANTI-FRAUD PLAN 2022/23 AND PROGRESS WITH DELIVERY OF 2021/22 PLAN** (Pages
To review and approve the Shared Anti-Fraud Service (SAFS) Anti-Fraud Plan 2021/22. 197 - 214)

11. **UPDATED FRAUD POLICY** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 215 - 236)

That the Committee approve the amended Fraud Prevention Policy.

12. **AUDIT PLAN 2022/2023** (Pages
To approve the audit plan for 2022/2023. 237 - 254)

13. **Q3 2021/22 AUDIT UPDATE REPORT** (Pages
To receive and note the Q3 Audit Update Report 255 - 264)

14. **RISK MANAGEMENT UPDATE** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 265 - 270)
To update the Committee on Corporate risks and changes to those risks.

15. **THIRD QUARTER REVENUE BUDGET MONITORING 2021/22** (Pages
REPORT OF THE SERVICE DIRECTOR - RESOURCES 271 - 282)

To consider the Third Quarter Revenue Budget Monitoring report for 2021/22.

16. **THIRD QUARTER INVESTMENT STRATEGY (CAPITAL AND TREASURY) REVIEW 2021/22** (Pages
REPORT OF THE SERVICE DIRECTOR - RESOURCES 283 - 314)

To receive an update on progress with delivering the capital and treasury strategy for 2021/22, as at the end of December 2021

17. **TAX COMPLIANCE FRAMEWORK** (Pages
REPORT OF THE SERVICE DIRECTOR - RESOURCES 315 - 324)

That the Committee comment on and note the proposed Tax Compliance Framework.

- 18. COUNCIL DELIVERY PLAN** (Pages 325 - 346)
A report detailing the performance Management Measures to support Delivery of the Council Plan 22-27
- 19. POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS**
The Chair to lead a discussion regarding possible agenda items for future meetings.