

27 February 2023

Our Ref Finance, Audit and Risk Committee/8
March 2023
Contact. Committee Services
Direct Dial. (01462) 474655
Email. committee.services@north-herts.gov.uk

To: Members of the Committee: Councillors Terry Tyler, Clare Billing, George Davies,
Morgan Derbyshire, Phil Weeder, Sean Nolan and Terry Hone

Substitutes: Councillors Steve Jarvis, Nigel Mason, Adam Compton, Simon Bloxham and
Chris Hinchliff

NOTICE IS HEREBY GIVEN OF A

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

to be held in the

**COUNCIL CHAMBER, DISTRICT COUNCIL OFFICES, GERNON
ROAD, LETCHWORTH**

On

WEDNESDAY, 8TH MARCH, 2023 AT 7.30 PM

Yours sincerely,

Jeanette Thompson
Service Director – Legal and Community

****MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION ON YOUR TABLET BEFORE ATTENDING THE MEETING****

Agenda **Part I**

Item	Page
<p>1. APOLOGIES FOR ABSENCE Members are required to notify any substitutions by midday on the day of the meeting.</p> <p>Late substitutions will not be accepted and Members attending as a substitute without having given the due notice will not be able to take part in the meeting.</p>	
<p>2. MINUTES - 25 JANUARY 2023 To take as read and approve as a true record the minutes of the meeting of the Committee held on the 25 January 2023.</p>	<p>(Pages 5 - 10)</p>
<p>3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chair of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency.</p> <p>The Chair will decide whether any item(s) raised will be considered.</p>	
<p>4. CHAIR'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chair of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.</p>	
<p>5. PUBLIC PARTICIPATION To receive petitions, comments and questions from the public.</p>	
<p>6. ANNUAL GOVERNANCE STATEMENT REPORT OF THE POLICY AND COMMUNITY MANAGER</p> <p>For the Committee to approve the Annual Governance Statement (AGS) for the year 2021/22 and Action Plan.</p>	<p>(Pages 11 - 24)</p>

7. **LOCAL CODE OF GOVERNANCE** (Pages
REPORT OF THE POLICY AND COMMUNITY MANAGER 25 - 40)
- For the Committee to approve the Local Code of Corporate Governance for 2023.
8. **AUDIT RESULTS REPORT** (Pages
REPORT OF ERNST AND YOUNG 41 - 88)
- To consider the Audit Results Report.
9. **STATEMENT OF ACCOUNTS 2021/22** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 89 - 198)
- For the Committee to approve the audited Statement of Accounts for 2021/22.
10. **SAFS PROPOSED ANTI-FRAUD PLAN 2023/24 AND PROGRESS WITH DELIVERY OF 2022/23 AUDIT PLAN** (Pages
REPORT OF THE SHARED ANTI-FRAUD SERVICE 199 - 212)
- To review and approve the Shared Anti-Fraud Services (SAFS) Anti-Fraud Plan 2022/23.
11. **AUDIT PLAN 2023/24** (Pages
REPORT OF THE SHARED INTERNAL AUDIT SERVICE 213 - 232)
- To approve the audit plan for 2023/2024.
12. **Q3 2022/23 AUDIT UPDATE REPORT** (Pages
REPORT OF THE SHARED INTERNAL AUDIT SERVICE 233 - 246)
- To receive and note the Q3 Audit Update Report.
13. **THIRD QUARTER REVENUE BUDGET MONITORING 2022/23** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 247 - 260)
- To consider the Third Quarter Revenue Budget Monitoring report for 2022/23.
14. **THIRD QUARTER 2022/23 INVESTMENT STRATEGY (CAPITAL AND TREASURY)** (Pages
REPORT OF THE SERVICE DIRECTOR – RESOURCES 261 - 292)
- To receive an update on progress with delivering the capital and treasury strategy for 2022/23, as at the end of December 2022.
15. **POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS**
The Chair to lead a discussion regarding possible agenda items for future meetings.